



Receipt

LAW OFFICES

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 SOUTH AVENUE WEST

WESTFIELD, NEW JERSEY 07090-1497

(908) 654-5000

FAX: (908) 654-7866

www.ldlkm.com

PATENTS, TRADEMARKS AND COPYRIGHTS

April 18, 2001

SIDNEY DAVID
JOSEPH S. LITTENBERG
ARNOLD H. KRUMHOLZ
WILLIAM L. MENTLIK
JOHN R. NELSON
ROY H. WEPNER
STEPHEN B. GOLDMAN
CHARLES P. KENNEDY
PAUL H. KOCHANOSKI
MARCUS J. MILLET
BRUCE H. SALES
ARNOLD B. DOMPIERI
KEITH E. GILMAN
ROBERT B. COHEN
MICHAEL H. TESCHNER
GREGORY S. GEWIRTZ
JONATHAN A. DAVID
SHAWN P. FOLEY*
JEFFREY S. DICKEY

THOMAS M. PALISI
STEPHEN F. ROTH
KIMBERLY V. PERRY
RENEE M. ROBESON
LYNNE A. BORCHERS*
MICHAEL J. DOHERTY
MATTHEW B. DERNIER
J. KIRKLAND DOUGLASS
SCOTT S. SERVILLA
JEANNE P. VALLEBUONA
EDWARD D. PERGAMENT*
FRANK J. CHESKY III
WILLIAM SMITH
TIMOTHY J. LUBECKI*
TIMOTHY J. BORTREE
YUFENG LIU

OF COUNSEL
LAWRENCE I. LERNER
DANIEL H. BOBIS
RAYMOND W. AUGUSTINA
HARVEY L. COHEN

*NORTH CAROLINA BAR ONLY
*NEW YORK BAR ONLY
*PENNSYLVANIA BAR ONLY

Commissioner For Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

RECEIVED
AUG 21 2001
Technology Center 2600

Re: Our File: SONYJP 3.3-121
Appln. No. 09/744,121
Filing Date: March 19, 2001
Group Art Unit: 2614

Dear Sir:

We are enclosing herewith copies of the filing receipt and executed Declaration for the above-identified patent application.

Please note that the **second** (2nd) and **sixth** (6th) words of the *Title* should read **--EQUIPMENT--**, not "apparatus."

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

ARNOLD H. KRUMHOLZ
Reg. No. 25,428

AHK:ls
Enclosures
305656_1.DOC



APR 23 2001

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/744,121	03/19/2001	2614	2280	SONY JP- 3,3 121	23	25	18

CONFIRMATION NO. 3037

000530
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090

RECEIVED
AUG 21 2001
Technology Center 2600

FILING RECEIPT



OC000000005929480

Date Mailed: 04/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ichiro Hamada, Kanagawa, JAPAN;
Susumu Nagano, Kanagawa, JAPAN;
Shintaro Tsutsui, Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/03199 05/18/2000

Foreign Applications

JAPAN 11-138849 05/19/1999
JAPAN 11-138850 05/19/1999
JAPAN 11-138851 05/19/1999
JAPAN 11-138852 05/19/1999

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

RECEIVED

APR 09 2001

LDLK&M

Title

Broadcasting apparatus and method, receiving apparatus and method, and medium

Preliminary Class

348

Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 04/03/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3037

SERIAL NUMBER 09/744,121	FILING DATE 03/19/2001 RULE	CLASS 348	GROUP ART UNIT 2614	ATTORNEY DOCKET NO. SONY JP-121
------------------------------------	---	---------------------	-------------------------------	---

APPLICANTS

Ichiro Hamada, Kanagawa, JAPAN;
Susumu Nagano, Kanagawa, JAPAN;
Shintaro Tsutsui, Tokyo, JAPAN;

**** CONTINUING DATA *******

THIS APPLICATION IS A 371 OF PCT/JP00/03199 05/18/2000

**** FOREIGN APPLICATIONS *******

JAPAN 11-138849 05/19/1999
JAPAN 11-138850 05/19/1999
JAPAN 11-138851 05/19/1999
JAPAN 11-138852 05/19/1999

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

**** 08/16/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 23	TOTAL CLAIMS 25	INDEPENDENT CLAIMS 18
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

000530

TITLE

Broadcasting equipment and method, receiving equipment and method, and medium

FILING FEE RECEIVED 2280	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit